

Cover Sheet

KWIEC Tracking # _____ **E-clearinghouse SAI#** _____

Lead Applicant Agency (City of, Fiscal Court, ADD, Taxing District) _____

Address (include zip + 4)

County Name _____ **County Code** _____

Duns # _____

Partnering Cities/Counties/Agencies _____

Organization: City County ADD Taxing District

Discipline: Law Enforcement Fire EMS HazMat Team EMA

Search and Rescue

Please provide: State House District _____ State Senate District _____ Congressional District _____

Area Development District _____

Proposal Information: Is this a continuation project of a Homeland Security Grant? Yes No

Please choose the category and sub category below for which you are applying:

- Communications Radio Infrastructure Equipment Alert System 911
- Critical Infrastructure Power Equipment Physical Security Enhancement Cyber Security Enhancement
- First Responder Equipment PPE Detection CBRNE Search and Rescue Decontamination
- Explosive Device Mitigation/Remediation Medical SWAT Other

Total amount requested: _____

Amount that would benefits Law Enforcement: _____

Who prepared this application? Name and Phone # _____

Authorizing Official

Project Director/Contact

Financial Officer

Name: _____

Title: _____

Address: _____

City, Zip: _____

Phone #: _____

Fax #: _____

Email: _____

Original Signatures _____

Section II – Project Strategy – Gap Identification (Attach maximum of two pages)

All applicants must complete this section.

Select any Threat/Hazard from Kentucky’s Strategic Preparedness Report that your proposed project will address:

- Active Shooter
- Cyber Attack
- Hazmat Release/Chemical
- Radiological Nuclear Detection
- Pipeline Spill
- Catastrophic Flooding
- Earth Quake

Select the primary core capability from FEMA’s National Preparedness Goals that will be enhanced through your project’s proposed solution:

<input type="checkbox"/> Access Control and Identity Verification	<input type="checkbox"/> Mass Care Services
<input type="checkbox"/> Long Term Vulnerability Reduction	<input type="checkbox"/> Threats and Hazards Identification
<input type="checkbox"/> Community Resilience	<input type="checkbox"/> On-scene Security, Protection and Law Enforcement
<input type="checkbox"/> Critical Transportation	<input type="checkbox"/> Operational Communications
<input type="checkbox"/> Cybersecurity	<input type="checkbox"/> Operational Coordination
<input type="checkbox"/> Mass Search and Rescue Operations	<input type="checkbox"/> Physical Protective Measures
<input type="checkbox"/> Economic Recovery	<input type="checkbox"/> Public Information and Warning
<input type="checkbox"/> Environmental Response/Health and Safety	<input type="checkbox"/> Public Health, Healthcare and Emergency Medical Services
<input type="checkbox"/> Fire Management and Suppression	<input type="checkbox"/> Intelligence and Information Sharing
<input type="checkbox"/> Forensics and Attribution	<input type="checkbox"/> Screening, Search and Detection
<input type="checkbox"/> Infrastructure Systems	<input type="checkbox"/> Situational Assessment
<input type="checkbox"/> Interdiction and Disruption	<input type="checkbox"/> Supply Chain Integrity and Security
<input type="checkbox"/> Logistics and Supply Chain	<input type="checkbox"/> Risk Management for Protection Programs and Activities

Explain in narrative form how the proposed project will support terrorism preparedness and address the identified gap(s) above. This narrative should include the following:

- List any emergencies or incidents that may have brought this gap to your attention.
- Explain the **solution** you are proposing to address this gap.
- Describe in detail what will be accomplished by this project. Include what procedures would be implemented, what capabilities would be enhanced, how identified threats and hazards will be mitigated.
- List all entities that will benefit from this project (fire, police, EMS, one county, multiple counties, etc.). **Applicants with projects that impact multiple jurisdictions must agree to initiate MOUs with all partners.**
- If this project is a continuation project, provide a description of the current state of this project. Include all activities involved to date whether funded by KOHS or other funding sources.
- Does this project provide a long-term solution to the identified problem? Explain.
- Describe your agency’s plan for sustaining the capabilities enhanced by this project.

Section III – Project Specific Information

Choose **ONLY** one category to complete for your project.

Communications

1. **Radios**

- Is your current radio system P25 compliant? Yes No
- Does this equipment replace existing inventory? Yes No
- If you are replacing existing inventory, what is the age and condition of the old equipment?

2. **Communication Infrastructure Project**

- Is your current radio system P25 compliant? Yes No
- Does this equipment replace existing inventory? Yes No
- If you are replacing existing inventory, what is the age and condition of the old equipment?

- Will the equipment be attached to an existing structure? If not, where will it reside?

3. **Alert Systems**

- Does this equipment replace existing inventory? Yes No
- If you are replacing existing inventory, what is the age and condition of the old equipment.

- Will the equipment be attached to an existing structure? If not, where will it reside?

- Please provide a map that indicates existing siren sites with the area of coverage and the requested siren sites with new area of coverage once the project is complete.

4. **9-1-1 Project**

- Are you a non-certified PSAP working toward certification? Yes No
- Is this equipment compatible with the Commonwealth of Kentucky NG9-1-1 State Plan? (See the 911 Board website: <http://911board.ky.gov>) Yes No

Critical Infrastructure Protection (maximum of one page)
Physical Security, Generator, Cyber Security Enhancement

1. Complete this section for Physical Security or Generators

- What is the critical infrastructure being hardened? Include the name and address.

- Why is it considered critical infrastructure to your community?
For generator projects, list the building square footage.

- What is the age of the critical infrastructure involved in this project?

- Does this equipment replace any existing inventory? If so, please describe the age and condition of the existing equipment.

- How will the requested items/equipment will be installed and where.

- Attach labeled photo of building/structure.

2. Complete this section for Cyber Security Enhancements

- What is the critical infrastructure being hardened? Include the name and address.

- Why is it considered critical infrastructure to your community?

- Describe the system(s) this technology would enhance. Is this a shared network or a standalone network?

- What type of data will this technology protect?

- What technology is currently used to protect the system?

- Is the current technology outdated or obsolete by today's standard?

- What potential threats could the requested technology deter?

- How will the requested technology and/or equipment installed.

- Attach labeled photo of building/structure if equipment other than software is involved.

First Responder Equipment (maximum of one page)

- Do you have a comprehensive equipment schedule that includes required equipment, equipment on hand, and needed equipment? Please explain.

- Does the requested equipment replace any existing inventory/equipment? If so, please describe the age and condition of the existing inventory/equipment.

- Is any special training or certification required to utilize the requested equipment?

- Describe any local and regional trainings, drills, or tabletop exercises in which your department(s) participates.

Section IV – Budget Narrative (Attach maximum of one page)

Provide a narrative that includes the following information:

- A brief summary of the planned expenditures.
- Applicant’s capability of supplementing a portion of the project.
- The method of procurement you plan to use.
- How you plan to maintain and replace the equipment.
- Provide milestones for these dates: Oct-Dec, Jan-Mar, Apr-Jun, and Jul-Sep.
- Have you applied for any other federal or state funds for this project? If so, what agency?

KOHS Historical Funding

List past funding the Lead Applicant Agency has received from KOHS for the past three years:

Year	Amount	Equipment	Entities that Received Equipment or Benefits

Required Attachments

- eClearinghouse Letter – submitted and received SAI number.
- City/County/District resolution.
- Print out of Authorized Equipment List (AEL) Documentation.
- One (1) price quote for requested equipment.
- KWIEC approval (Communications projects only) www.kwiec.ky.gov.
- Mutual Aid Agreement with KSP (Communication projects only) <http://kwiec.ky.gov/interoperability/Pages/Mutual-Aid-Interoperability-Frequencies.aspx>
- Labeled photos if project requires equipment installation to the inside or outside of a building, tower or any other structure.

DHS Specific Terms and Conditions

All recipients, sub-recipients, successors, transferees, and assignees must acknowledge and agree to comply with applicable provisions governing DHS access to records, accounts, documents, information, facilities, and staff.

1. Recipients must cooperate with any compliance reviews or compliance investigations conducted by DHS.
2. Recipients must give DHS access to, and the right to examine and copy, records, accounts, and other documents and sources of information related to the federal financial assistance award and permit access to facilities, personnel, and other individuals and information as may be necessary, as required by DHS regulations and other applicable laws or program guidance.
3. Recipients must submit timely, complete, and accurate reports to the appropriate DHS officials and maintain appropriate backup documentation to support the reports.
4. Recipients must comply with all other special reporting, data collection, and evaluation requirements, as prescribed by law or detailed in program guidance.
5. If, during the past three years, recipients have been accused of discrimination on the grounds of race, color, national origin (including limited English proficiency (LEP)), sex, age, disability, religion, or familial status, recipients must provide a list of all such proceedings, pending or completed, including outcome and copies of settlement agreements to the DHS FAO and the DHS Office of Civil Rights and Civil Liberties (CRCL) by e-mail at crcl@hq.dhs.gov or by mail at U.S. Department of Homeland Security Office for Civil Rights and Civil Liberties Building 410, Mail Stop #0190 Washington, D.C. 20528.
6. In the event courts or administrative agencies make a finding of discrimination on grounds of race, color, national origin (including LEP), sex, age, disability, religion, or familial status against the recipient, or recipients settle a case or matter alleging such discrimination, recipients must forward a copy of the complaint and findings to the DHS FAO and the CRCL office by e-mail or mail at the addresses listed above.

The United States has the right to seek judicial enforcement of these obligations.

Acknowledgment of Federal Funding from DHS

All recipients must acknowledge their use of federal funding when issuing statements, press releases, requests for proposals, bid invitations, and other documents describing projects or programs funded in whole or in part with federal funds.

Activities Conducted Abroad

All recipients must ensure that project activities carried on outside the United States are coordinated as necessary with appropriate government authorities and that appropriate licenses, permits, or approvals are obtained.

Age Discrimination Act of 1975

All recipients must comply with the requirements of the Age Discrimination Act of 1975 (Title 42 U.S. Code, § 6101 et seq.), which prohibits discrimination on the basis of age in any program or activity receiving federal financial assistance.

Americans with Disabilities Act of 1990

All recipients must comply with the requirements of Titles I, II, and III of the Americans with Disabilities Act, which prohibits recipients from discriminating on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities. (42 U.S.C. §§ 12101–12213).

Best Practices for Collection and Use of Personally Identifiable Information (PII)

DHS defines personally identifiable information (PII) as any information that permits the identity of an individual to be directly or indirectly inferred, including any information that is linked or linkable to that individual. All recipients who collect PII are required to have a publically-available privacy policy that describes standards on the usage and maintenance of PII they collect. Recipients may also find the DHS Privacy Impact Assessments: Privacy Guidance and Privacy template as useful resources respectively.

Civil Rights Act of 1964 – Title VI

All recipients must comply with the requirements of Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.), which provides that no person in the United States will, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance. DHS implementing regulations for the Act are found at 6 C.F.R. Part 21 and 44 C.F.R. Part 7.

Civil Rights Act of 1968

All recipients must comply with Title VIII of the Civil Rights Act of 1968, which prohibits recipients from discriminating in the sale, rental, financing, and advertising of dwellings, or in the provision of services in connection therewith, on the basis of race, color, national origin, religion, disability, familial status, and sex (See 42 U.S.C. § 3601 et seq.), as implemented by the Department of Housing and Urban Development at 24 C.F.R. Part 100. The prohibition on disability discrimination includes the requirement that new multifamily housing with four or more dwelling units—i.e., the public and common use areas and individual apartment units (all units in buildings with elevators and ground-floor units in buildings without elevators)—be designed and constructed with certain accessible features. (See 24 C.F.R. § 100.201.)

Copyright

All recipients must affix the applicable copyright notices of 17 U.S.C. §§ 401 or 402 and an acknowledgement of U.S. Government sponsorship (including the award number) to any work first produced under federal financial assistance awards.

Debarment and Suspension

All recipients are subject to the non-procurement debarment and suspension regulations implementing Executive Orders (E.O.) 12549 and 12689, and 2 C.F.R. Part 180. These regulations restrict federal financial assistance awards, sub-awards, and contracts with certain parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in federal assistance programs or activities.

Drug-Free Workplace Regulations

All recipients must comply with the Drug-Free Workplace Act of 1988 (41 U.S.C. § 701 et seq.), which requires all organizations receiving grants from any federal agency agree to maintain a drug-free workplace. DHS has adopted the Act’s implementing regulations at 2 C.F.R Part 3001.

Duplication of Benefits

Any cost allocable to a particular federal financial assistance award provided for in 2 C.F.R. Part 200, Subpart E may not be charged to other federal financial assistance awards to overcome fund deficiencies, to avoid restrictions imposed by federal statutes, regulations, or federal financial assistance award terms and conditions, or for other reasons. However, these prohibitions would not preclude recipients from shifting costs that are allowable under two or more awards in accordance with existing federal statutes, regulations, or the federal financial assistance award terms and conditions.

Education Amendments of 1972 (Equal Opportunity in Education Act) – Title IX

All recipients must comply with the requirements of Title IX of the Education Amendments of 1972 (20 U.S.C. § 1681 et seq.), which provide that no person in the United States will, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any educational program or activity receiving federal financial assistance. DHS implementing regulations are codified at 6 C.F.R. Part 17 and 44 C.F.R. Part 19

Energy Policy and Conservation Act

All recipients must comply with the requirements of 42 U.S.C. § 6201 which contain policies relating to energy efficiency that are defined in the state energy conservation plan issued in compliance with this Act.

False Claims Act and Program Fraud Civil Remedies

All recipients must comply with the requirements of 31 U.S.C. § 3729- 3733 which prohibits the submission of false or fraudulent claims for payment to the federal government. (See 31 U.S.C. § 3801-3812 which details the administrative remedies for false claims and statements made.)

Federal Debt Status

All recipients are required to be non-delinquent in their repayment of any federal debt. Examples of relevant debt include delinquent payroll and other taxes, audit disallowances, and benefit overpayments. (See OMB Circular A-129.)

Federal Leadership on Reducing Text Messaging while Driving

All recipients are encouraged to adopt and enforce policies that ban text messaging while driving as described in E.O. 13513, including conducting initiatives described in Section 3(a) of the Order when on official government business or when performing any work for or on behalf of the federal government.

Fly America Act of 1974

All recipients must comply with Preference for U.S. Flag Air Carriers (air carriers holding certificates under 49 U.S.C. § 41102) for international air transportation of people and property to the extent that such service is available, in accordance with the International Air Transportation Fair Competitive Practices Act of 1974 (49 U.S.C. § 40118) and the interpretative guidelines issued by the Comptroller General of the United States in the March 31, 1981 amendment to Comptroller General Decision B-138942.

Hotel and Motel Fire Safety Act of 1990

In accordance with Section 6 of the Hotel and Motel Fire Safety Act of 1990, 15 U.S.C. § 2225a, all recipients must ensure that all conference, meeting, convention, or training space funded in whole or in part with federal funds complies with the fire prevention and control guidelines of the Federal Fire Prevention and Control Act of 1974, as amended, 15 U.S.C. § 2225.

Limited English Proficiency (Civil Rights Act of 1964, Title VI)

All recipients must comply with the Title VI of the Civil Rights Act of 1964 (Title VI) prohibition against discrimination on the basis of national origin, which requires that recipients of federal financial assistance take reasonable steps to provide meaningful access to persons with limited English proficiency (LEP) to their programs and services. For additional assistance and information regarding language access obligations, please refer to the DHS Recipient Guidance <https://www.dhs.gov/guidance-published-help-department-supported-organizations-provide-meaningful-access-people-limited> and additional resources on <http://www.lep.gov>.

Lobbying Prohibitions

All recipients must comply with 31 U.S.C. § 1352, which provides that none of the funds provided under an federal financial assistance award may be expended by the recipient to pay any person to influence, or attempt to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any federal action concerning the award or renewal.

National Environmental Policy Act

All recipients must comply with the requirements of the National Environmental Policy Act (NEPA) and the Council on Environmental Quality (CEQ) Regulations for Implementing the Procedural Provisions of NEPA, which requires recipients to use all practicable means within their authority, and consistent with other essential considerations of national policy, to create and maintain conditions under which people and nature can exist in productive harmony and fulfill the social, economic, and other needs of present and future generations of Americans.

Nondiscrimination in Matters Pertaining to Faith-Based Organizations

It is DHS policy to ensure the equal treatment of faith-based organizations in social service programs administered or supported by DHS or its component agencies, enabling those organizations to participate in providing important social services to beneficiaries. All recipients must comply with the equal treatment policies and requirements contained in 6 C.F.R. Part 19 and other applicable statutes, regulations, and guidance governing the participations of faith-based organizations in individual DHS programs.

Non-supplanting Requirement

All recipients receiving federal financial assistance awards made under programs that prohibit supplanting by law must ensure that federal funds do not replace (supplant) funds that have been budgeted for the same purpose through non-federal sources.

Notice of Funding Opportunity Requirements

All of the instructions, guidance, limitations, and other conditions set forth in the Notice of Funding Opportunity (NOFO) for this program are incorporated here by reference in the award terms and conditions. All recipients must comply with any such requirements set forth in the program NOFO.

Patents and Intellectual Property Rights

Unless otherwise provided by law, recipients are subject to the Bayh-Dole Act, Pub. L. No. 96-517, as amended, and codified in 35 U.S.C. § 200 et seq. All recipients are subject to the specific requirements governing the development, reporting, and disposition of rights to inventions and patents resulting from federal financial assistance awards located at 37 C.F.R. Part 401 and the standard patent rights clause located at 37 C.F.R. § 401.14.

Procurement of Recovered Materials

All recipients must comply with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 C.F.R. Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition.

Rehabilitation Act of 1973

All recipients must comply with the requirements of Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. § 794, as amended, which provides that no otherwise qualified handicapped individuals in the United States will, solely by reason of the handicap, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

Reporting of Matters Related to Recipient Integrity and Performance

If the total value of the recipient’s currently active grants, cooperative agreements, and procurement contracts from all federal assistance offices exceeds \$10,000,000 for any period of time during the period of performance of this federal financial assistance award, you must comply with the requirements set forth in the government-wide Award Term and Condition for Recipient Integrity and Performance Matters located at 2 C.F.R. Part 200, Appendix XII, the full text of which is incorporated here by reference in the award terms and conditions.

Reporting Sub-awards and Executive Compensation

All recipients are required to comply with the requirements set forth in the government-wide Award Term on Reporting Sub-awards and Executive Compensation located at 2 C.F.R. Part 170, Appendix A, the full text of which is incorporated here by reference in the award terms and conditions.

SAFECOM

All recipients receiving federal financial assistance awards made under programs that provide emergency communication equipment and its related activities must comply with the SAFECOM Guidance for Emergency Communication Grants, including provisions on technical standards that ensure and enhance interoperable communications.

Terrorist Financing

All recipients must comply with E.O. 13224 and U.S. law that prohibit transactions with, and the provisions of resources and support to, individuals and organizations associated with terrorism. Recipients are legally responsible to ensure compliance with the Order and laws.

Trafficking Victims Protection Act of 2000

All recipients must comply with the requirements of the government-wide award term which implements Section 106(g) of the Trafficking Victims Protection Act of 2000, (TVPA) as amended by 22 U.S.C. § 7104. The award term is located at 2 C.F.R. § 175.15, the full text of which is incorporated here by reference in the award terms and conditions.

Universal Identifier and System of Award Management (SAM)

All recipients are required to comply with the requirements set forth in the government-wide financial assistance award term regarding the System for Award Management and Universal Identifier Requirements located at 2 C.F.R. Part 25, Appendix A, the full text of which is incorporated here by reference in the terms and conditions.

USA Patriot Act of 2001

All recipients must comply with requirements of the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act (USA PATRIOT Act), which amends 18 U.S.C. §§ 175–175c.

Use of DHS Seal, Logo and Flags

All recipients must obtain permission from their DHS FAO, prior to using the DHS seal(s), logos, crests or reproductions of flags or likenesses of DHS agency officials, including use of the United States Coast Guard seal, logo, crests or reproductions of flags or likenesses of Coast Guard officials.

Whistleblower Protection Act

All recipients must comply with the statutory requirements for whistleblower protections (if applicable) at 10 U.S.C § 2409, 41 U.S.C. 4712, and 10 U.S.C. § 2324, 41 U.S.C. §§ 4304 and 4310.

Certification by Authorizing Official

I understand, and agree to comply with, the general and fiscal provisions of this grant application, including the terms and conditions; the provisions and regulations governing these funds and all other federal and state laws; that all information presented in this application is true correct; that there has been appropriate coordination with all affected agencies; that costs incurred prior to grant approval may result in those costs being absorbed by the Sub-grantee, and that the receipt of these grant funds will not supplant state or local funds.
Name of Authorizing Official (Please type or print):
Title of Authorizing Official (Please type or print):
Agency/Organization:
Mailing Address:
City, State, ZIP:
Phone:
FAX:
E-mail Address:
Signature: